

*Money Laundering (Prevention)
(Declaration of source of funds) (Forms) Regulations*

SAINT LUCIA

STATUTORY INSTRUMENT, 2013, No. 15

[11th March, 2013]

In exercise of the power conferred under sections 43 and 21 of the Money Laundering Prevention Act No.8 of 2010, the Attorney General makes these Regulations:

Citation

1. These Regulations may be cited as the Money Laundering (Prevention) (Declaration of source of funds)(Forms) Regulations 2013.

Interpretation

2. In these Regulations –

“principal Act” means the Money Laundering (Prevention) Act No. 8 of 2010.

Declaration of source of funds

3. – (1) The declaration of source of funds required for transactions with a financial institution exceeding \$25,000.00 must be submitted in the form prescribed in Form 1 of the Schedule.

(2) The declaration of source of funds required for transactions with a person engaged in other business activity exceeding \$25,000.00 must be submitted in the form prescribed in Form 2 of the Schedule.

Negative Resolution

4. These Regulations are subject to a negative resolution of the House of Assembly and the Senate.

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SCHEDULE				(Regulation 3)
Form 1				
Declaration of Source of Funds for transactions exceeding \$25,000.00 with a financial institution				
Name and Address of Financial Institution			Date of Transaction: (dd/mm/yy)	
			Account Number:	
DECLARATION OF SOURCE OF FUNDS FORM Section 21 of the Money Laundering (Prevention) Act 2010				
Information on Business or Depositor (if different to account holder)				
NAME				
Current Address:				
Resident Status: Resident <input type="checkbox"/> Non-resident <input type="checkbox"/>				
Date of Birth	Place of Birth	Nationality	Occupation	
Telephone Numbers	Home:	Work:	Mobile:	
Information on account holder				
Name:				
Date of Birth	Place of Birth	Nationality	Occupation	
Telephone Numbers	Home:	Work:	Mobile:	
Resident Status: Resident <input type="checkbox"/> Non-Resident <input type="checkbox"/>				
Identification: (Valid Picture ID required)				
National ID <input type="checkbox"/>	Passport <input type="checkbox"/>	Driver's Licence <input type="checkbox"/>	Other <input type="checkbox"/>	Identification details: <input type="text"/>
Description / Nature of Business Transaction:				
Deposit <input type="checkbox"/> Wire Transfer <input type="checkbox"/> Currency exchange <input type="checkbox"/> Monetary Instrument <input type="checkbox"/> Other <input type="checkbox"/> (specify)				
Amount and Currency				
FINANCIAL INSTITUTIONS ARE REQUIRED BY LAW TO VERIFY THE SOURCE OF FUNDS BEING DEPOSITED BEFORE ACCEPTING DEPOSITS AND TO DISCLOSE SUCH INFORMATION TO LAW ENFORCEMENT AUTHORITIES IF REQUIRED. THE MAKING OF A FALSE DECLARATION AS TO THE SOURCE OF FUNDS CONSTITUTES AN OFFENCE UNDER SECTION 21 (2) OF THE MONEY LAUNDERING (PREVENTION) ACT 2010. I DECLARE THAT THE SOURCE OF FUNDS IS: (Show supporting evidence, e.g. Receipt, invoice, title deeds etc)				
Transaction Approved: Yes <input type="checkbox"/> No <input type="checkbox"/> (If no state reason)				
Depositor's Signature:		Transaction taken by: (signature and title)		Witness

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Form 2

Declaration of Source of Funds for transactions exceeding \$25,000.00 with a person engaged in other business activities

<i>Name and Address of Business Activity</i>		<i>Date of Transaction: (dd/mm/yy)</i>	
DECLARATION OF SOURCE OF FUNDS FORM Section 21 of the Money Laundering (Prevention) Act 2010			
Customer / Client Information			
NAME			
Current Address:			
Resident Status: Resident <input type="checkbox"/> Non-resident <input type="checkbox"/>			
Date of Birth	Place of Birth	Nationality	Occupation
Telephone Numbers	Home:	Work:	Mobile:
Customer / Client Agent Information (if applicable)			
Name:			
Date of Birth	Place of Birth	Nationality	Occupation
Telephone Numbers	Home:	Work:	Mobile:
Resident Status: Resident <input type="checkbox"/> Non-Resident <input type="checkbox"/>			
Identification: (Valid Picture ID required)			
<i>National ID</i> <input type="checkbox"/> <i>Passport</i> <input type="checkbox"/> <i>Driver's Licence</i> <input type="checkbox"/> <i>Other</i> <input type="checkbox"/> <i>Identification details:</i>			
Description / Nature of Business Transaction:			
Amount and Currency			
FINANCIAL INSTITUTIONS ARE REQUIRED BY LAW TO VERIFY THE SOURCE OF FUNDS BEING DEPOSITED BEFORE ACCEPTING DEPOSITS AND TO DISCLOSE SUCH INFORMATION TO LAW ENFORCEMENT AUTHORITIES IF REQUIRED. THE MAKING OF A FALSE DECLARATION AS TO THE SOURCE OF FUNDS CONSTITUTES AN OFFENCE UNDER SECTION 21 (2) OF THE MONEY LAUNDERING (PREVENTION) ACT 2010. I DECLARE THAT THE SOURCE OF FUNDS IS: (Show supporting evidence, eg. Receipt, invoice, title deeds etc)			

Transaction Approved: Yes <input type="checkbox"/> No <input type="checkbox"/> (If no state reason)			
Customer's Signature:	Transaction taken by: (signature and title)		Witness

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Made this 27th day of February, 2013.

KIM C. ST. ROSE,
Attorney General.